

PATENT Attorney Docket No.: HUV-050.01

(19787-5001)

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Hafner, J.H. et al. Serial No: 09/955,738

Filed: September 18, 2001

Title: Fabrication of Nanotube Microscopy Tips

Group Art Unit: 1746

Examiner: To Be Assigned

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on: January 8, 2002.

Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. § § 1.56 and 1.97 (b)(3), submitted herewith on a Form PTO-1449 is a list of publications known to Applicants and their Agent. A copy of each listed publication is also being submitted herewith. Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached Form 1449.

This submission does not represent that a search has been made or that no better art exists. Nor does it constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute

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"prior art" under United States law, Applicants reserve the right to present to the Office the

relevant facts and law regarding the appropriate status of such documents. Applicants further

reserve the right to take appropriate action to establish the patentability of the disclosed invention

over the listed documents should one or more of the documents be applied against the claims of

the present application.

Under 37 C.F.R. § 1.97 (b)(3), this Information Disclosure Statement is being submitted

before the mailing date of the first Office Action on the merits; therefore, no fees are believed to

be due. However, the Commissioner is hereby authorized to charge any required fee to our

Deposit Account, No. 06-1448.

Respectfully submitted,

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